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PATENT PH
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/866,500
Confirmation No.: 4454
Filed: May 25, 2001
Inventor(s):
Shayne Young

§
§ Examiner: Unknown
§ Art Unit: 2164
§ Atty. Dkt. No: 5226-01500
§

Title: METHODS AND SYSTEMS
FOR MANAGING A
PORTFOLIO OF
SECURITIES

<p>CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 DATE OF DEPOSIT: April 9, 2004 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Alexandria, VA 22313-1450 B. Gail Ballard B. Gail Ballard</p>
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INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APR 14 2004

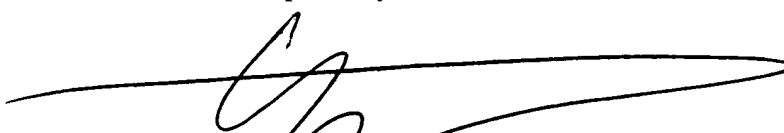
Technology Center 2400

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references E1-E6) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5226-01500/EBM.

Respectfully submitted,


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ATTY. DKT. NO. 5226-01500

APPLICANT: Shayne Young

FILING DATE: May 25, 2001

SERIAL NO. 09/866,500

GROUP: 2164

[illegible]

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

E1	International Search Report, PCT/US02/18063, mailed May 8, 2003
E2	International Search Report, PCT/US02/18224, mailed May 15, 2003
E3	International Search Report, PCT/US02/18978, mailed May 21, 2003
E4	International Search Report, PCT/US02/26471, mailed December 11, 2003
E5	International Search Report, PCT/US02/31239, mailed April 3, 2003
E6	International Search Report, PCT/US02/34357, mailed January 7, 2003

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.